## REVISED FORM 'A' [As per rule 5(a)]

1.	Details of establishment :
	Name :
	Address :
	State :
	Tel No. :
	Email :
	Fax No. :
	TUX INO.
(a)	Whether Government or Private:
(h)	Established Under (Ministry/ Deptt./ Council/ Act / or any other):
(0)	Name and Number :
	Date :
	Date .
(c)	Sister Organization (if any)
(C)	Sister Organization ( <i>if any</i> ) :  Name :
	Address :
	Address :
(d)	Whether premises is rented/leased/self owned:
2	Details of the Head of Occasion in
۷.	Details of the Head of Organization :
	Name :
	Address :
	Contact Number:
3.	Objective(s) of the Organization:
4.	Type of Animal House Facility (Small/ Large / both):
_	Discourse of Designation :
5.	Purpose of Registration :
	(i) Research for Education purpose
	(ii) Research for Commercial purpose
	(iii) Research
	(iv) Breeding for in-house use
	(v) Breeding for the purpose of trade
	(vi) Production of Hyperimmune Plasma and Serum.
6.	Proposed source of animal procurement:
S	S.No. Name of the supplier Address CPCSEA Registration No. Mode of Transportation
7	Details of animals ( <i>species wise</i> ) to be housed (Small / Large):
٠.	Details of arithmas (species wise) to be floused (Sitiality Earge).
	Name and Broad No. of Type of Provinces of
	S No Name and breed No. or Sex Age Animal House Purpose or
	of Animals animals Facility Registration
	1 active
8	Location of Animal House Facility for conduct of animal experimentation:
- •	

9. St	taff trained for animal exp					
	Name	Designatio	n	Qualification	Exper	rience
	ost-experimental facilities n case of rehabilitation, re		mber of shelt	er to which anim	al will be rehabi	litate}
11. Ir	nstitutional Animal Ethics	Committee :				
a)	Date of Constitution of I	IAEC				
b) Name of Membe	Date Designation Q of	Qualification	Experience	Mobile Email	Organization to which they belong	Resume Consent of Members
c)	Minutes of IAEC in w signature of all the mem	-	oposal of reg	istration with C	PCSEA is appr	oved, with
ď	) Recommendation of IAI	EC for registr	ation alongw	ith the minutes o	f internal IAEC	meeting
	nformation regarding ongo pproval of CPCSEA / othe	0		*	mentation and d	ates of
above	<b>Fication:</b> I, (name and designate paragraphs 1 to 12 are to concealed therein.					
Nam	e of Head of the organizati	ion / Chairm	ian, IAEC			
Desig	gnation of Head of the orga	anization/ C	hairman, IAE	С		
					Signature	
					Seal	
Place						

### Form B (per rule 8(a)\* for Submission of Research Protocol (s)

#### **Application for Permission for Animal Experiments**

Application to be submitted to the CPCSEA, New Delhi after approval of Institutional Animal Ethics Committee (IAEC)

C - 4 ·	T
Section	-1
<u>Section</u>	

1.	Name	and	address	of	establishment

- 2. Registration number and date of registration.
- 3. Name, address and registration number of breeder from which animals acquired (or to be acquired) for experiments mentioned in parts B & C
- 4. Place where the animals are presently kept (or proposed to be kept).
- 5. Place where the experiment is to be performed (Please provide CPCSEA Reg. Number)
- 6. Date on which the experiment is to commence and duration of experiment.
- 7. Type of research involved (Basic Research / Educational/ Regulatory/ Contract Research )

Signature

Name and Designation of Investigator

Date: Place:

#### **Section -II**

Protocol from for research proposals to be submitted to the committee / Institutional Animal Ethics Committee, for new experiments or extensions of ongoing experiments using animals other than non-human primates.

- 1. Project / Dissertation / Thesis Title:
- 2. Principal Investigator / Research Scholar / Research Guide / Advisor:
  - a. Name
  - b. Designation
  - c. Dept / Div/ Lab
  - d. Telephone No.
  - e. Experience
- 3. List of names of all individuals authorized to conduct procedures under this proposal.

Co-guides

- a. Name
- b. Address
- c. Experience
- 4. Funding source with complete address (Please attach the proof)
- 5. Duration of the project
  - a. Number of months
  - b. Date of initiation (Proposed)
  - c. Date of completion (Proposed)
- 6. Detailed study plan may be given (Not more than one page)

### 7. Animals required

- a. Species / Common name
- b. Age/ weight/ size
- c. Gender
- d. Number to be used (Year-wise breakups and total figures needed to be given)
- e. Number of days each animal will be housed.
- f. Proposed source of animals.

### 8. Rationale for animal usage

- a. Why is animals usage necessary for these studies?
- b. Why are the particular species selected required?
- c. Why is the estimated number of animals essential?
- d. Are similar experiments conducted in the past? If so, the number of animals used and results obtained in brief.
- e. If yes, why new experiment is required?
- f. Have similar experiments been made by any other organization agency? If so, their results in your knowledge.
- 9. Description the procedures to be used.

List and describe all invasive and potentially stress full non-invasive procedures that animals will be subjected to in the course of the experiments.

Furnish details of injections schedule

Substances:

Doses:

Sites : Volumes :

Blood withdrawal

Volumes

Sites :

Radiation (dosage and schedules):

- 10. Please provide brief descriptions of similar studies from invitro / invivo (from other animal models) on same / similar test component or line of research. If, enough information is available, justify the proposed reasons.
- 11. Does the protocol prohibit use of anesthetic or analgesic for the conduct of painful procedures (any which cause more pain than that associated with routine injection or blood withdrawal)? If Yes, explanation and justification.

### 12. Will survival surgery be done?

If Yes, the following to be described.

- a. List and description of all such surgical procedures (including methods of asepsis)
- b. Names, qualifications and experience levels of operators
- c. Description of post-operative care
- d. Justification in major survival surgery is to be performed more than once on a single individual animals.
- 13. Methods of disposal post-experimentation
  - a. Euthanasia (Specific method):
  - b. Method of carcass disposal:
  - c. Rehabilitation (alongwith details):
  - d. Reuse:
- 14. Animal transportation methods if extra-institutional transport is envisaged.
- 15. Use of hazardous agents (use of recombinant DNA-based agents or potential human pathogens requires documented approval of the Institutional Biosafety Committee (IBC). For each category, the agents and the biosafety level required, appropriate therapeutic measures and the mode of disposal of contaminated food, animal wastes and carcasses must be identified)
- (a) Radionuclides
- (b) Microorganisms / Biological infectious Agents
- (c) Hazardous chemicals or drugs
- (d) Recombinant DNA
- (e) Any other (give name)

If, your project involved use of any of the above, attach copy of the minutes of IBC granting approval.

### Investigator's declaration.

- 1. I certify that I have determined that the research proposal herein is not unnecessarily duplicative of previously reported research.
- 2. I certify that, I am qualified and have experience in the experimentation on animals.
- 3. For procedures listed under item 11, I certify that I have reviewed the pertinent scientific literature and have found no valid alternative to any procedure described herein which may cause less pain or distress.
- 4. I will obtain approval from the IAEC/ CPCSEA before initiating any significant changes in this study.
- 5. Certified that performance of experiment will be initiated only upon review and approval of scientific intent by appropriate expert body (Institutional Scientific Advisory Committee / funding agency / other body (to be named).
- 6. Institutional Biosafety Committee's (IBC) certification of review and concurrence will be taken (Required for studies utilizing DNA agents of human pathogens).
- 7. I shall maintain all the records as per format (Form D)
- 8. I certify that, I will not initiate the study unless approval from CPCSEA received in wiring. Further, I certify that I will follow the recommendations of CPCSEA.
- 9. I certify that I will ensure the rehabilitation policies are adopted.

	7-8
	Name of Investigator
Date:	

Signature

## **Certificate**

This is certify that the project title	
Name of Chairman/ Member Secretary IAEC:	Name of CPCSEA nominee:
Signature with date	
Chairman/ Member Secretary of IAEC	CPCSEA nominee:
(Kindly make sure that minutes of the me participants are maintained by Office)	eting duly signed by all the

## **Check-List with Form-B for Submission of Research Protocol (s)**

### **Check-List** (To be submitted for consideration of CPCSEA)

Title of the protocol	
Name and address of the Institute submitting proposal,	
with Ref No. if any	
CPCSEA Registration No. and valid upto	
Status of Institute and its accreditation, if any	DST/ICMR/DBT/CSIR/Public funded Institution/
	State/ Central University/ College/ ISO-NABL
	certified lab/ GLP certified lab/ others
Type of research work	1. Academic Research.
	2. In-house R&D.
	3. Drug Development & Research.
	4. Preclinical toxicity study.
	5. Multicenter research collaborative study.
	6. Education.
	7. Contract Research
Name & Address of CPCSEA Nominee and Link	
Nominee and date of appointment	
[Date of change of Nominee (if any)]	
Composition of IAEC as per approved guidelines and	
the names and addresses of the establishment /	
members to which they represent	
whether detailed signed minutes of IAEC by members	
including nominee attached with the protocol.	
Recommendations of IAEC	
Recommendation of Institutional Bio Safety	
Committee (IBSC)	
Recommendations of Review Committee on Genetic	
Manipulation (RCGM)	
The date of last inspection of Animal House Facility	
and approval details conveyed by CPCSEA.	
Name of the PI with designation, qualification and	
work experience with animals.	
Name of the Co-PI with designation, qualification and	
work experience with Large Animals.	
Source of procurement of animals, types, number, age	
& sex.	
Information regarding import / export of animals /	
material before and after experimentation.	
A signed declaration by PI is attached with proposal?	

Signature of Chairman IAEC / Principal Investigator

Form C

Record of Animals bred / acquired: (to be maintained by the Breeder/Establishment)

Date	No. of	No. of	Name,	No. of	Name,	Signature
of	Animals	Animals	Address	animals	address and	
entry	(Specify	acquired	and date	transferred	registration	
	species,	(Specify	& from	(specify	No. of the	
	sex and	date of	whom	date,	Establishment	
	age)	acquisition	acquired	species, sex	to whom	
		species,		and	transferred	
		sex and		voucher/bill		
		age)		no. )		

Form D

Record of Animals Acquired and Experiments performed: (to be maintained by the Investigator)

Date of	No. of	Name,	Date and	Date/period	Name and	Certification
entry	animals	address	particulars	of	address of	of the
	acquired	and	of order of	experiment	the person	investigator
	(specify	registration	grant of		authorizing	authorizing
	species,	No. of the	permission		the	the
	sex and	breeder	by the		experiment	experiment
	age	from whom	committee			that all
		acquired				conditions
		with				specified for
		voucher/bill				such an
		no.				experiment
						have been
						complied
						with
						(Signature)

### Biodata and consent format for Members of the Institutional Animal Ethics Committee

Name:			Sex:		
Date of Birth (dd/mm/yy):					
Professional Mailing Address (Include institutional name)					
Telephone (Office):			Mobile Number :		
<b>Telephone (Residence):</b>			E-Mail:		
Academic Qualifications (Most o	urrent qualific	ation first):			
Degree / Certificate	Subject	Year	Institution, Country		
<b>Professional Experience:</b>					
Month and Year	Tit	le	Institution / Company, Country		
Experience in animal handling	/ research:				
Month and Year	Area of Spe	cialization	Institution / Company, Country		
Consent:					
I hereby give my consent to be the member of the IAEC of (Name of the of the establishment)  I undertake to follow all the rules and guidelines of the CPCSEA.					
	6				
Signature:					
Date:					

# FORMAT OF CHECKLIST AND INSPECTION REPORT FOR ANNUAL INSPECTION OF ESTABLISHMENTS REGISTERED WITH CPCSEA

1.	Name and address of the Institute/Establishment (with contact no. Fax no. and mobile)	
	(a) No. and Date of registration as per Company Act/Council or any other Act.	
	(b) Whether the premises of the Institute/Establishment is on rent/lease or self owned (specify)	
	(c) Name of the Sister concern (if any), where animal experiments are being carried out.	
	(d) Location of the Animal House Facility (whether inside the premises or away from the premises) (Enclosed annexure I)	
2.	Name of the Head of the organization & address with contact details	
3.	Objective(s) of the organization	
4.	Purpose for Registration with CPCSEA	
5.	Type of work to be taken:  (a) Education  (b) Research for Education purpose  (c) Research for Commercial purpose  (d) Breeding for in-house use  (e) Breeding for the purpose of trade  (f) Production of Hyperimmune Plasma,  Serum etc.	
6.	If Research, specify whether Basic/contract/collaborative/regulatory research	
7.	If Education, Name of the Certificate/Diploma/Degree	
8.	Composition of the IAEC in details having, Name/Designation/Qualification/Discipline and organization to which the members belong.	
9.	Enclose copy of detailed minutes of last IAEC meeting of the establishment/institute.	
10.	Overall assessment.	

(Signature of the Nominee)

Date: Name:

# Committee for the Purpose of Control and Supervision of Experiments on Animals (CPCSEA)

Inspection Report of Animal House Facility for continuation of Registration with CPCSEA (To be filled up by the Nominee/ Inspecting authority)

1. Date of Inspection:

2. Name of Organiz	zation:						
3. Purpose of Inspe	3. Purpose of Inspection: Routine/ annual, for continuation of CPCSEA registration						
4. Category of the A	Animal House Fa	cility: GLP/AAA	ALAC or others				
5. Inspection Detail	ls:						
(a) Details of anima	ls, Species wise k	ept at the time o	f Inspection in th	e Animal House			
Details of Species Number Sex Age Animals							
(b) Veterinary C	are of animals:						
(d) Physical Faci Drainage: Go Temperatu	(d) Physical Facilities: Drainage: Good/Bad/ need changes (specify): Temperature::						
(f) Water:							
6. Recommendati	ion / Any other r	emarks:					
(i) Recommend	ed for approval (	without any stip	ulations).				
(ii) Recommend (please speci	ed for approval v fy here)	with suggestions	for improvement	Ė			
(iii) Recon	nmended for reje	ction with specif	ic grounds				
Signature of nominee Signature of nominee Signature of nominee							

# Committee for the Purpose of Control and Supervision of Experiments on Animals $\begin{tabular}{l} *** \end{tabular}$

(To be filled up by the Nominee)

### CHECK LIST FOR INSPECTION OF ESTABLISHMENT /INSTITUTE

### Date of Inspection:

1.	Name and address of the Institute/Establishment (with contact no. Fax no. and mobile)	
	(a) No. and Date of registration as per Company Act/Council or any other Act.	
	(b) Whether the premises of the Institute/Establishment is on rent/lease or self owned (specify)	
	(c) Name of the Sister concern (if any),where animal experiments are being carried out.	
	(d) Location of the Animal House Facility (whether inside the premises or away from the premises)	
2.	Name of the Head of the organization & address with contact details	
3.	Objective(s) of the organization	
4.	Purpose for Registration with CPCSEA  (a) Education (b) Research for Education purpose (c) Research for Commercial purpose (d) Breeding for in-house use (e) Breeding for the purpose of trade (f) Production of Hyperimmune Plasma, Serum etc. (Non-research Commercial)	
6.	If Research, specify whether Basic/contract/collaborative/regulatory research	
7.	If Education, Name of the Certificate/Diploma/Degree	
8.	Composition of the IAEC in details having, Name/Designation/Qualification/Discipline and organization to which the members belong.	
9.	Enclose copy of detailed minutes of last IAEC meeting of the establishment/institute.	
10.	Overall assessment.	

11.	Recommendation:	
	(1) Recommended for approval (without any stipulations)	
	(2) Recommended for re-inspection (Please specify here)	
	(3) Recommended for rejection with specific grounds (Please specify here)	

(Name & Signature of the Inspecting Authority)

# Committee for the Purpose of Control and Supervision of Experiments on Animals $\begin{tabular}{l} *** \end{tabular}$

(To be filled up by the Nominee)

### INSPECTION REPORT OF ANIMAL HOUSE FACILITY

l.	Date of Inspection:					
2.	Name of Organization:					
3.	Purpose of Inspection:					
1.	Inspection Detail	s:				
(a)	Details of anim	als (Small / Larg	e animals):-			
G	i) Species wise an	aimals to be hous	ed (for New Registr	ration):		
()	Details of	Species Species	Number	Sex	Age	
	Animals	Species	Number	Sex	Age	
	7 Hillians					
	•	•		•		
<b>(</b> i	ii)Species wise an	imals kept at the	time of Inspection	in the Animal	House	
	Details of	Species	Number	Sex	Age	
	Animals					
(b)	Veterinary Ca	re of animals	:			
` /	•					
(c)	Health status of	of animals	:			
(d)	Animal Procus	rement	:			
` /						
(e)	Quarantine, Stabilization and Separation :					
(f)	Physical Facil	ities	:			
(-)	1 11 51001 1 0011		·			
(i)	Building mate	rials	:			
(ii)	Corridor(s)					
(11)	Comidor(s)		•			
(iii)	Utilities :					
(iv.)	Doors of Animal Room :					
(iv)	Doors of Anin	nai <b>K</b> 00III	:			

Exterior windows

(v)

(vi)	Floors	:	
(vii)	Drainage	:	
(viii)	Walls and ceilings	:	
(ix)	Storage areas	:	
(x)	Facilities for sanitizing equipment and supplies	:	
(xi)	Experimental Area	:	
(xii)	Environment	:	
(xiii)	Temperature and Humidity control	:	
(xiv)	Ventilation	:	
(xv)	Power and lighting	:	
(xvi)	Noise control	:	
(g)	Animal Husbandry	:	
(i) Ca	ging or housing system		
(ii) S	sheltered or outdoor housing		
(iii) S	ocial environment		
(h)	Food	:	
(i)	Bedding	:	
(i)	Water		

(k)	Sanitation and Cleanliness	:
(1)	Waste Disposal	:
(m)	Pest Control	:
(n)	Emergency, weekend and holiday care	:
(o)	Record Keeping	:
(p)	Personnel and Training	:
(q)	No. of technical Staff, supporting staff, details of the training of the supporting staff	:
(r)	Transport of laboratory animals	:
(s)	Anesthesia and Euthanasia	:
(t)	Laboratory animal ethics	:
(u)	Transgenic animals	:
(v)	Maintenance	:
(w)	Disposal	:
(x)	Details of rehabilitation facilities	:
(y)	Overall assessment	

(z) Recommendation (i) Recommended for approval (without any stipulations). (ii) Recommended for approval with suggestions for improvement i. (please specify here) (iii)Recommended for fulfillment of stipulated conditions before consideration for approval a. (please specify here) (iv)Recommended for rejection with specific grounds a. (please specify here) Signature of the Nominee Signature of the Nominee Signature of the Nominee / Inspecting Authority / Inspecting Authority / Inspecting Authority with Date. with Date with Date.

#### **CPCSEA NOMINEE APPLICATION FORM**

Name of the Applicant :

Category of Application : Nominee / Socially Aware Nominee (Please Tick)

Sex :

Date of Birth (dd/mm/yy) :

Organization :

Communication Address :

Telephone :

Fax :

Mobile :

E-Mail :

**Academic Qualifications** 

(Most current qualification first)

Degree / Certificate	Subject	Year	Institution, Country

**PHOTO** 

**Professional Experience** 

Month and Year	Title	Institution / Company, Country	Government/ Private

Experience in Animal Welfare/ Animal handling/ research

Month and Year	Area of Specialization	Institution / Company, Country	Government/ Private

Any family member already working as Nominee of CPCSEA

(Please mention the name and relation):

No Objection Certificate (Yes / No)

(In the prescribed proforma)

#### **Declaration:**

- 1. I am fully aware of my duties and responsibilities as CPCSEA nominee representing the Institutional Animal Ethics Committees (IAEC)
- 2. I will carry out my responsibilities in accordance with the rules and regulations of CPCSEA and as per the instructions received from CPCSEA.
- 3. I will not use the name of CPCSEA on personal letter heads or other communications.
- 4. I will not misuse the name and purpose of CPCSEA for any assistance or gain.
- 5. I will not disclose any confidential information of the institution / CPCSEA.
- 6. I am aware that my nomination can be cancelled by CPCSEA, without assigning any reason.

Date: Signature of Applicant

\*The filled in application Form alongwith above information / details / supporting documents (Detailed Resume, Certificate of education qualification, Certificate of Animal Welfare experience, Photo ID proof and Date of Birth Proof) should be sent to :-

The Member Secretary,

CPCSEA, Ministry of Environment, Forest & Climate Change,

5th Floor, Vayu Block, Indira Paryavaran Bhawan, Jor Bagh Road, New Delhi ó 110003.

E-mail: cpcsea-mef@gov.in

Note: The application forms complete in all respect shall only be entertained in CPCSEA and the incomplete applications shall be rejected without entering into any communication with the applicant.

### **No Objection Certificate**

	Date:
This is to certify that Dr./Mr./Ms./Miss _	is an
employee in our Institute / Organization viz.	(Name of the Institute / Organization)
located at	
He/ She wishes to apply for Nominee of CI objection for working him/her as Nominee of CPCS	-
Signature :	Seal with date
Name :	
(Head of Institute/Head of Department/Place	ment Officer/Head of Organization)
Name of the Institute / Organization:	